

尊貴的客戶：

感謝 閣下一直以來對民眾證券的支持！

投資者識別碼制度

證券及期貨事務監察委員會（“證監會”）早前公布，就為內地與香港股票市場交易互聯互通機制下的滬股通及深股通引入「投資者識別碼制度」（俗稱「A股實名制」），與中國證券監督管理委員會（“中國證監會”）達成共識。建議制度擬定於本年度年第三季實施。

民眾證券有限公司為香港交易所參與者之一（“民眾證券”）。建議制度規定，民眾證券須為客戶編派一個「券商客戶編碼」（“BCAN”），及向香港交易所提供客戶的「客戶識別信息」（“CID”）。香港交易所會將有關信息交予內地交易所。

券商客戶編碼及客戶識別信息僅供監管機構監控及監察市場之用，不會用於結算及交收，亦不會提供予公眾查閱。

客戶同意書

按相關規定，民眾證券使用 閣下的個人資料作上述用途前，須先取得 閣下同意。

有鑒於此，請點擊[此處](#)下載《中華北向交易（投資者識別碼模式）客戶同意書及個人資料收集聲明》（“同意書”）。煩請 閣下簽妥同意書後，於 **2018年8月20日前**以下列任何方式交回本公司：

電郵：cs@freemansec.com

郵寄：「香港花園道一號中銀大廈三十八樓 民眾證券客戶服務部 收」

傳真：(852) 2527-2535

請注意，若在正式實施有關措施前仍未收到 閣下簽妥的同意書，按照規定本公司將不能為 閣下提交任何北向買盤。直至收到 閣下簽妥的同意書為止。

有關滬深港通北向交易投資者識別碼模式的詳情，請[按此](#)參考香港交易所網址。

如有任何查詢，歡迎致電本公司客戶服務熱線(852) 3513-8000 或 4001-200-279 與客戶服務主任聯絡。

民眾證券有限公司 謹啟

Dear Valued Customer

Thank you for your continued support!

Investor Identification (ID) Model

The Securities and Futures Commission (“SFC”) announced earlier that it has reached an agreement with the China Securities Regulatory Commission (“CSRC”) on proposals to introduce an investor identification regime for Northbound trading under Mainland-Hong Kong Stock Connect. The proposed regime is scheduled to be implemented by the third quarter of 2018.

Freeman Securities Limited (“FSL”) is an Exchange Participant of Hong Kong Exchanges and Clearing Limited (“HKEX”). According to the requirements, FSL will assign a unique number, known as the Broker-to-Client Assigned Number (“BCAN”), to our client and provide Client Identification Data (“CID”) to HKEX, which will then forward the information to Mainland exchanges.

BCANs and CID are for regulators’ market monitoring and surveillance only. They will neither be used in clearing and settlement nor available for public viewing.

Client Consent Letter

FSL is required to obtain your prescribed consent before using your personal data for the aforementioned purpose.

In light of this, please click [here](#) to download the Consent Letter on Northbound China Stock Connect Orders (Investor Identification Model) and the Personal Information Collection Statement (“Consent Letter”). **Please return the signed Consent Letter to us by 20 August 2018 via one of the following means:**

Email: cs@freemansec.com

Postal Address: 38/F, Bank of China Tower, 1 Garden Road, Hong Kong (Attn: “Customer Service, Freeman Securities Limited”)

Fax: (852) 2527-2535

Please note that FSL is not allowed to input any Northbound buy order for you should we not obtain your prescribed consent before the official implementation of this regime, unless and until we receive the signed Consent Letter from you.

For details concerning the Investor ID Model for Northbound Trading under Mainland-Hong Kong Stock Connect, please click [here](#) to visit HKEX’s website.

Please do not hesitate to contact our Customer Service Officer at our hotline (852) 3513-8000 or 4001-200-279 (for mainland customers) should you have any questions.

Yours faithfully,

Freeman Securities Limited